



For customers | Aegon Platform

Aegon Junior ISA change of registered contact form

In this form, unless otherwise specified 'I', 'me', 'you', 'your' or 'my' refers to you, the applicant named in section 2, and 'Aegon', 'we' or 'our' refers to Cofunds Limited.

The registered contact is a person with parental responsibility, or the child aged 16-18, if they so wish and have successfully applied to become the registered contact.

You should use this form to change the registered contact for an Aegon Junior ISA (JISA), including where the child aged between 16-18 wishes to take control of their Aegon JISA from the existing registered contact. The existing registered contact must sign this application to authorise this change unless where certain exemptions apply. These exemptions are explained in more detail in section 3.

Please send the completed form by post to: **Individual Savings Accounts Ltd, 16 High Street, Kegworth, Derbyshire, DE74 2DA**

Whenever you see this icon , you may have to send us additional information.

If you have access to our online services, you may be able to log in and complete your action securely.

If your personal circumstances mean you need any additional support, or if you'd like a large print, Braille or audio CD version of this document, please call 0345 604 4001 (call charges will vary) or visit aegon.co.uk/support.

1. Child and existing registered contact details

1.1 Child details

Product number

8

Mr / Mrs / Miss / Ms / Other – please specify

Full forename(s)

Surname

Date of birth

D

D

M

M

Y

Y

Y

Y

Permanent residential address

Postcode

National Insurance number (if held)

☐

The child doesn't have a National Insurance number

1.2 Existing registered contact details

Mr / Mrs / Miss / Ms / Other – please specify

Full forename(s)

Surname

Date of birth

D

D

M

M

Y

Y

Y

Y

Permanent residential address

Postcode

Where applicable, I, the existing registered contact, consent to the transfer of registered contact status in respect of this Aegon JISA to the applicant named in section 2.

You should sign and date this form in the signature box below.

When you sign the form you are making the declarations and confirming that you wish to proceed with the instructions in this form.

Date

D

D

M

M

2

0

Y

Y

Existing registered contact signature

X

2. Prospective registered contact details

☐ I am the child named in section 1.1, aged between 16 and 18, and apply to become the registered contact of my Aegon JISA.

or

Mr / Mrs / Miss / Ms / Other – please specify

Full forename(s)

Surname

Date of birth

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Permanent residential address

Postcode

I apply to become the registered contact for the Aegon JISA of:

Child's name:

Date

D	D	M	M	2	0	Y	Y
---	---	---	---	---	---	---	---

☐ Tick this box if you are applying to be the registered contact because you have adopted the child named in section 1.1

3. Exemptions

The change to registered contact can't normally be made without the signature of the existing registered contact and the applicant (prospective registered contact) should arrange for the existing registered contact to sign this form to approve the change unless one of the exemptions listed below applies. If this is not possible Aegon will contact the existing registered contact on your behalf which may delay your application.

The approval of the existing registered contact is not required in the following circumstances. If applicable, tick the relevant box:

3.1 ☐ Where the child aged 16-18 wishes to operate their Junior Investment ISA, or

3.2 In the following circumstances relating to the existing registered contact:

☐ 3.2.1 The death of the registered contact; or

☐ 3.2.2 The incapacity of the registered contact; or

☐ 3.2.3 Where the registered contact cannot be contacted; or

☐ 3.2.4 Where a court order brings an end to the existing registered contact being a person with parental responsibility for the child; or

☐ 3.2.5 Where a court has appointed a guardian or special guardian of the child; or

☐ 3.2.6 Where a court orders that a person who is the existing registered contact ceases to be so; or

☐ 3.2.7 Where the applicant has adopted the child under an adoption order.

With the exception of 3.1, Aegon will need to be provided with the original, or a certified copy of the legal document/court order evidencing this. ☒ Please see the 'Who can certify a document and how they do it?' FAQ on our website for how to do this.

4. Adviser details

4.1 Continue with current adviser

☐ I wish to continue with my current nominated adviser.

4.2 Nominate a new adviser

I wish to nominate:

Name:

of adviser firm

as the nominated adviser on this account.

FCA reference

If a monthly Direct Debit instruction is in place, Aegon will continue to collect regular payments and invest them in accordance with the existing fund choice and split until advised, in writing, by the registered contact that they should be stopped or amended.

5. Prospective registered contact declaration

I confirm that:

- 5.1 I confirm that I have received and had the opportunity to read the Aegon Platform terms & conditions as supplemented and amended by the Supplement for Aegon JISA carefully, along with the key features document(s), my personal illustration for the Aegon JISA, key investor information document(s) relating to the funds I would like to invest in and the declarations in this application, before completing this application process.

I declare that:

- 5.2 I wish to apply to be the registered contact for this Aegon JISA and I agree to be bound by the Aegon Platform terms and conditions as supplemented and amended by the Supplement for Aegon JISA.
- 5.3 I am 16 years of age or over.
- 5.4 I will be the registered contact for this Aegon JISA.
- 5.5 I have parental responsibility for the child, or I am the child.
- 5.6 The child named in section 1.1 above, or where I am the child named, will be the beneficial owner of the accounts investments.
- 5.7 I will not knowingly make subscriptions to JISAs for this child that will result in the subscription limit being exceeded.

- 5.8 The information supplied in this application, and any supplementary forms related to it, including transactional data, is correct and complete to the best of my knowledge and belief, I am aware that it is a serious offence to knowingly provide false or misleading information on the application.

- 5.9 Where regulations allow, I nominate my appointed adviser to receive correspondence in relation to my investments. This instruction will remain in force unless my appointed adviser has informed Aegon that they wish for this correspondence to be sent directly to me, or I no longer have an appointed adviser to whom Aegon can send these.

I authorise Aegon to:

- 5.10 Hold the child's cash, subscriptions, investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.
- 5.11 Make on the child's behalf any claims to relief from tax in respect of any of JISA investments.
- 5.12 Disclose details of this Aegon JISA to my appointed adviser.
- 5.13 Where regulations allow, accept instructions from my appointed adviser with regard to investment, disinvestment and all aspects of the administration of the Aegon JISA.

5. Prospective registered contact declaration – continued

- 5.14 Pay any fees or charges specified by me to my appointed adviser on the child’s behalf from the Aegon JISA.
- 5.15 I agree that the amount of the fee or charge reflects the terms of the agreement I have entered into with my appointed adviser. The contract note will confirm the actual amount of the fee or charge to be deducted and paid to my appointed adviser. If I disagree with the fee or charge then I must advise my appointed adviser.

You should sign and date this form in the signature box below.

When you sign the form you are making the declarations and confirming that you wish to proceed with the instructions in this form.

Date

D	D	M	M	2	0	Y	Y
---	---	---	---	---	---	---	---

Prospective registered contact signature

X

6. Adviser declaration

Where you have completed this form on behalf of the registered contact named in section 1 and/or the prospective registered contact named in section 2, when you sign the form, by typing your name in this box, you are making the declarations and confirming that the registered contact wishes to proceed with the instructions in this form.

By signing this form, by typing your name in the box below, you make the following additional declarations:

You declare that:

- to the best of your knowledge and belief, the information supplied to Aegon on behalf of the registered contact is true and complete;
- you have the appropriate authority from the registered contact to complete this form, to make the declarations in this form on their behalf and to provide Aegon with the instructions set out in this form, acknowledging that Aegon reserves the right to request a copy of the authority and failure to provide a copy when requested may result in Aegon being unable to proceed with the instructions; and

- you have discussed the form with the registered contact and they are aware of its content, they agree to the declarations and agree to you submitting this application on their behalf.
- you hereby indemnify Aegon against all claims, losses, tax charges, penalties and interest incurred or due to be paid by Aegon as a result of my failure to obtain the appropriate authority from the registered contact and/or supplying incorrect or inaccurate information and Aegon relying on and following the instructions given in this application form.

Date

D	D	M	M	2	0	Y	Y
---	---	---	---	---	---	---	---

Adviser Signature

X



Aegon is a brand name of both Scottish Equitable plc (No. SC144517) registered in Scotland, registered office: Edinburgh Park, Edinburgh EH12 9SE, and Cofunds Limited, Registered in England and Wales No.03965289, registered office: Level 26, The Leadenhall Building, 122 Leadenhall Street, London, EC3V 4AB. Both are Aegon companies. Scottish Equitable plc is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority. Cofunds Limited is authorised and regulated by the Financial Conduct Authority (FCA). Their FCA Financial Services Register numbers are 165548 and 194734 respectively.