



Aegon ISA top up form

If your personal circumstances mean you need any additional support, or if you'd like a large print, braille or audio CD version of this document, please call 0345 604 4001 (call charges will vary) or visit aegon.co.uk/support

In this form, Aegon means Cofunds Limited.

Use this form to top up your existing Aegon ISA. The overall ISA allowance is £20,000 for the 2023/2024 tax year.

You must have received an illustration before completing this form. Please go to aegon.co.uk/support to download the form **Illustration request - Aegon GIA and Aegon ISA**. Along with the illustration, we'll send you the Aegon ISA key features, and Key Investor Information Document or a Key Information Document for each fund you're investing in.

Please send the completed form by post to: Individual Savings Accounts Ltd, 16 High Street, Kegworth, Derbyshire, DE74 2DA

Whenever you see this icon *, you may have to send us additional material with this form.

1. Customer details

1.1 Illustration number

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If you don't provide your illustration number, it may lead to your application being rejected.

1.2 Product number

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Mr / Mrs / Miss / Ms / Other - please specify

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Full forename(s)

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Surname

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Date of birth

D	D	M	M	Y	Y	Y	Y
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Did you get advice before completing this form?

☐ Yes

☐ No

1.3 Please tell us

National Insurance number

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You should be able to find your NI number on a payslip, form P45 or P60, a letter from HM Revenue & Customs, a letter from the DWP, or a pension order book.

☐ I don't have a National Insurance number

Permanent residential address

Postcode

☐ Cheque☐ Bank transfer

2.2 Important note: Please ensure for both bank transfers and cheques you give your Aegon ISA product number, or your surname and date of birth as the reference when you make the payment to ensure we can tie it up with your account.

We might need to know where the money you are investing has come from. If we do, we'll contact you to ask you where the money has come from and for documentary evidence of this.

3. Investment details

☐ Use my existing investment strategy.☐ Invest as set out in the table below.

Full investment manager name, fund name and share class	SEDOL code	% to be invested
		%
		%
		%
		%
		%
		%
		%
		%
		%
Aegon ISA cash facility	N/A	%
Total amount	N/A	100%

☐ If you need to add additional funds, please provide the information on a separate sheet of paper in the format above, sign and date it and attach it to this form. *

☐ Use the investment choices above as my new default investment selection for all future single payments and transfers into this product.

4. Investment income options

If you have income-generating investments, this section lets you choose how any income distributions paid from those investments should be dealt with when we receive them from the investment provider or change any existing instruction you have given us. We'll apply your selection to all income generating investments you hold within the Aegon ISA.

As you have an existing Aegon ISA with us:

- We'll apply your existing income option, for any income units/shares you currently hold with us.
- If you wish to take consolidated natural income and you're currently taking regular withdrawals, please select 'consolidated natural income' and we'll cancel your existing regular withdrawals.

Please tick one of the following options:

- ☐ Reinvest in fund (default) – reinvest any income received back into the same fund investment.
- ☐ Leave in cash – pay any income into the ISA cash facility.
- ☐ Consolidated natural income – pay any income received to your nominated bank account as a monthly payment, but only if income is received from investments. (Complete section 5 to nominate a bank account).

If you choose 'consolidated natural income', this will count as a withdrawal from your Aegon ISA.

5. Bank details for payments out of investment income

Please provide details of the bank/building society account your consolidated natural income is to be paid to. Payments can only be made to a personal account in your name. If this is the first payment to your nominated bank account, you may need to give us a certified copy of your bank statement and driving licence (as proof of signature). We'll contact you if we need this.

Name of bank /Building society

Account name

Branch sort code

Bank/building society account number

Building society roll number (if applicable)

Payments to building society accounts may take up to 10 days longer than payments to bank accounts.

6. Adviser details (for adviser use only)

Adviser name

Firm name

If you'd like to take an ad hoc adviser charge for this transaction, or amend your client's ongoing adviser charge or service charge, use your Aegon Platform account.

7. Customer declaration

In this Declaration:

'I', 'you', 'your' or 'my' refers to you, the customer named in section 1 and 'Aegon' refers to Cofunds Limited.

It's important that you read the following declarations before proceeding with making a top up to your Aegon ISA. It's your responsibility to ensure that any payments you make to your Aegon ISA are within the ISA yearly subscription limits set by HM Revenue & Customs.

General Declaration

7.1 I acknowledge that Aegon relies on the information contained in the following documents as they form the basis of me making a top up to my Aegon ISA:

- The application
- These declarations and any other declarations made when applying to make a top up to your Aegon ISA
- The contract note (where applicable)
- The Aegon Platform terms and conditions

I confirm that I have had the opportunity to read these documents carefully (other than the contract note in relation to making the top up which will be given to me in accordance with the Aegon Platform terms and conditions), along with the key features document, my personal illustration, key investor information documents and the declarations in this application before completing this application process.

7.2 I confirm that I have had the opportunity to read the Aegon UK Retail Order Execution Policy and I agree to its terms.

7.3 I accept that the information and documents I have been provided with should not be taken as advice or a recommendation from Aegon.

7.4 I accept that Aegon has not and will not assess my suitability for making a top up to my Aegon ISA or any investment decisions I make. This means I will not benefit from the protection of the Financial Conduct Authority's rules on assessing suitability. If I have any doubts about the suitability of making a top up to my Aegon ISA, I should speak to a financial adviser.

I declare that:

7.5 I apply to make a top up to my Aegon ISA.

7.6 Where my Aegon ISA is not capable of accepting a top up, I apply to subscribe for a stocks and shares ISA for the tax year 2023/2024 and each successive year until further notice.

7.7 All subscriptions made, and to be made, to the Aegon ISA belong to me.

7.8 I am 18 years of age or over.

7.9 Except where allowed by legislation, I have not subscribed/made payments to, and will not subscribe/make a payment, more than the overall subscription limit in total to any combination of permitted ISA types in the same tax year.

7.10 Except where allowed by legislation, I have not subscribed, and will not subscribe, to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA.

7.11 I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties, which by virtue of Section 28 of Income Tax (Earnings and Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a registered civil partnership with, a person who performs such duties. I will inform Aegon if I cease to be so resident or perform such duties or be married to, or in a registered civil partnership with, a person who performs such duties.

7.12 I agree to the Aegon ISA terms and conditions.

7.13 Where I have selected consolidated natural income in section 4 and I am currently taking regular withdrawals from my Aegon ISA, as appropriate, I instruct Aegon to cancel the regular withdrawals.

7.14 The information supplied in the application, and supplementary forms related to it, including transactional data, is true and complete to the best of my knowledge and belief. I am aware that it is a serious offence knowingly to provide false or misleading information on the application.

7. Customer declaration – continued

- 7.15 I confirm that, if I have not received face to face advice from an appointed adviser in connection with this application, I have received and had the opportunity to read the key features document, illustration, key investor information document(s) and the Aegon Platform terms and conditions that are relevant to this application.
 - 7.16 The top up into my Aegon ISA will be placed in the cash facility. Thereafter, investments will be purchased in accordance with the investment instructions given by me in section 2, or my financial adviser where I appointed one in relation to my Aegon ISA.
 - 7.17 This application has been completed to the best of my knowledge and belief.
- I authorise Aegon to:**
- 7.18 Hold my cash, subscriptions, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.

- 7.19 Make on my behalf any claims to relief from tax in respect of any of my investments.
- 7.20 Accept investment and disinvestment instructions from my financial adviser where I have appointed one in relation to my Aegon ISA.
- 7.21 Disclose details of my Aegon ISA to my appointed adviser, and to accept instructions from my appointed adviser with regard to all aspects of the running of the Aegon ISA.

Date

D

D

M

M

Y

Y

Y

Y

Print name

Signature

X

X

