

Withdrawal from an Aegon General Investment Account (GIA) into an Aegon Junior ISA (JISA)

If your personal circumstances mean you need any additional support, or if you'd like a large print, braille, or audio CD version of this document, please call 0345 604 4001 (call charges will vary) or by visiting aegon.co.uk/support.

Before completing this form – You must have been provided with an illustration, Aegon Platform terms and conditions, Aegon JISA key features, Aegon JISA supplement and a Key Investor Information Document (KIID) or a Key Information Document for each fund you're investing in before completing this form, or we may not be able to process your request. Please go to aegon.co.uk/support to download the form Illustration request – Aegon GIA and Aegon ISA. We'll send you the relevant documents along with your illustration.

About this form

In this form, 'I', 'me', 'you', 'your' or 'my' refers to you, the registered contact named in section 1, and 'Aegon', 'we' or 'our' refers to Cofunds Limited.

The registered contact is a person with parental responsibility, or the child aged 16-18, if they so wish and have successfully applied to become the registered contact.

Use this form to withdraw part or all of the value of the Aegon GIA and move it into a new or existing Aegon JISA on behalf of a child who is under 18 and living in the UK, or on your own behalf if you are the child aged 16-18 who has successfully applied to become the registered contact.

If the child doesn't already have an Aegon JISA with us and you would like to apply for a new Aegon JISA account, you can use this form to do so. The Aegon JISA is a Stocks and Shares JISA. To make a withdrawal from more than one Aegon GIA, a separate form should be completed for each account.

Please send the completed form by post to: Individual Savings Accounts Ltd, 16 High Street, Kegworth, Derbyshire, DE74 2DA

Our email system and the way we deal with data internally is secure. However, we're unable to ensure the security of emails before they reach us so please consider this and do not include any personally sensitive, financial or banking information that has not been appropriately secured.

If your financial adviser has completed this form on your behalf, please check all details are correct before signing your name in the signature box and returning the form.

Important

In section 3.1, if you choose to invest proportionally, or complete the table in 3.2, the funds should match the illustration you received from us. If they don't, we can't process your request. If you wish to invest in different funds, please contact us for a new illustration.

For the tax year 2023/2024, the maximum amount that can be paid into JISAs is £9,000.

If the Aegon GIA is a joint GIA, all joint holders must agree to the withdrawal and confirm that the money being withdrawn will belong to the child who is the beneficial owner of Aegon JISA named in section 1.1, and sign and date the declaration.

Additional information

The Aegon Platform terms and conditions as supplemented and amended by the Supplement for Aegon JISA give further detail around the conditions of withdrawing from the Aegon GIA and investing in the Aegon JISA product.

To download our documents, log into your dashboard, click on 'Help and Support' and search for the document you're looking for.

Whenever you see this icon , you may have to send us additional information.

1. Child and registered contact details

1.1 Child details

Mr/Mrs/Miss/Ms/Other – please specify

Full forename(s)

Surname

Date of birth (dd/mm/yyyy)

1.2 For tax purposes is the child resident anywhere other than the UK?

☐ No

☐ Yes – download and complete the Individual self- certification form on our website and attach it to this form. 

1.3 Are you applying for a new Aegon IISA on behalf of the child?

☐ Yes – go to 1.4.

☐ No – tell us your Aegon ISA number and go to 1.5.

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Only complete 1.4 if you're applying for a new Aegon IISA with us.

1.4 Please tell us the child's:

Permanent residential address

Postcode

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☐ The child does not have a National Insurance number

National Insurance number

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1.5 Registered contact details

Mr/Mrs/Miss/Ms/Other – please specify

Full forename(s)

Surname

Date of birth (dd/mm/yyyy)

Permanent residential address

Postcode

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Gender

☐ Male

☐ Female

Email address

We'll use your email address to contact you about your Aegon IISA. We might also use it to keep you informed about our products and services but only where you've given your consent to this.

1. Child and registered contact details – continued

Did you receive advice from a financial adviser for this application?

☐ Yes

☐ No

☐

Tick this box to confirm that you’ve had the opportunity to read the Aegon Platform terms and conditions, Aegon JISA Key features, Aegon JISA supplement and fund specific information and/or Key Investor Information Documents (KIIDs) relating to each of your investments.

2. Aegon GIA – Withdrawal details

In this section, ‘you’ or ‘your’ means either the sole holder of the GIA or, where the GIA is owned by more than one holder, all joint holders of the Aegon GIA numbered in section 2.1.

2.1 What Aegon GIA number do you want to withdraw from?

8

Mr/Mrs/Miss/Ms/Other – please specify

Full forename(s)

Surname

Date of birth (dd/mm/yyyy)

2.2 How much would you like to withdraw from your Aegon GIA to be invested in the Aegon JISA?

The total withdrawal (across all Aegon GIAs) must not exceed the Aegon JISA investor’s (named in section 1.1) remaining JISA allowance for the tax year.

Total amount to withdraw from the Aegon GIA noted in section 2.1

£

2. Aegon GIA – Withdrawal details – continued

2.3 What fund(s) would you like to sell?

If you don't complete the table below and tell us how your fund(s) should be withdrawn, we'll automatically withdraw proportionally across all of your funds.

- ☐ If you need to add additional funds, please provide the information on a separate sheet of paper in the format below, sign and date it and attach it to this form. 

Full investment manager name, fund name and share class	SEDOL code	Amount to be sold (£)
Aegon GIA cash facility	N/A	
Total amount	N/A	

3. Aegon JISA – Investment details

In this section, you need to tell us how you'd like to invest your money into your Aegon JISA. Your adviser should complete sections 3.3 and 3.4 if you're investing in a model portfolio.

We'll invest the total amount withdrawn detailed in section 2.2 into the Aegon JISA numbered in section 1.3, or if you're using this form to apply for a new Aegon JISA, we'll automatically invest the total amount into that new product number.

For further details about the available funds, please refer to the Key Investor Information Documents (KIIDs) and/or Fund Key Features Document for each fund in which you would like to invest.

3.1 What investments would you like to invest in?

Please only tick one of the following options:

- ☐ Use my default investment strategy.
- ☐ **Invest proportionally into the same funds listed in 2.3** – you'll only be able to invest into the same funds if these are available under the Aegon JISA. The funds should match the illustration you received from us. If they don't, we may not be able to process your request.
- ☐ **Invest in the funds below** – complete section 3.2.

3. Aegon ISA – Investment details – continued

3.2 Single payment

The funds should match the illustration you received from us, if they don't, we won't be able to process your request. If you want to invest in different funds, you'll need a new illustration.

Full investment manager name, fund name and share class	SEDOL code	% to be invested
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
Aegon JISA cash facility	N/A	%
Total amount	N/A	100%

☐ If you need to add additional funds, please provide the information on a separate sheet of paper in the format above, sign and date it and attach it to this form.✉

3.3 Model portfolio (for adviser use only)

If you're setting up a new Aegon JISA product:

Name of existing model portfolio

3.4 Auto-rebalancing (for adviser use only)

Set up rebalancing on my investments.

☐ No

☐ Yes

Please confirm the frequency of rebalancing:

☐ Quarterly

☐ Yearly

4. Investment income options

If you have income-generating investments, this section lets you choose how any income distributions paid from those investments should be dealt with when we receive them from the investment provider. We'll apply your selection to all income generating investments you hold within the Aegon JISA. If you have an existing Aegon JISA with us and you don't tick one of the boxes below, we'll apply your existing income option.

Please tick one of the following options:

- ☐ **Reinvest in fund (default)** – reinvest any income received back into the same fund.
- ☐ **Leave in cash** – pay any income into the Aegon JISA cash facility.

Please note we're not able to pay income directly out of the JISA to a customer.

6. How we treat your personal information

Here at Aegon, we're committed to protecting and respecting your privacy. We collect your personal information so that we can verify your identity, set up your plan and provide ongoing administration. We need this information to carry out our obligations and to provide you with the products and services under the terms of your contract with us. Without it, we wouldn't be able to provide you with a plan.

As part of our administration process, we work with carefully selected service providers (in other words suppliers) that carry out certain functions on our behalf. We only share the appropriate level of personal information necessary to enable our suppliers to carry out their services and they need to keep the information safe and protected at all times.

Our suppliers must only act on our instructions and can't use your personal information for their own purposes.

The personal information we collect may be transferred to, and stored at a destination outside the European Economic Area (EEA). This could be to other companies within the Aegon Group or to our service providers. Where any such processing takes place, appropriate controls are in place to make sure your information is protected.

We may disclose your information to licensed credit reference and/or fraud prevention agencies to help make financial or insurance proposals and claims decisions (this will be during the application or enrolment process and

on an ongoing basis), for you and anyone you're linked with financially or other members of your household. Our enquiries or searches may be recorded.

You can find more information on how we use and share your personal information, including how long we keep it and details of your rights at customerdashboard.aegon.co.uk/site-info/privacy-and-cookie-policy/ or by contacting us to request a copy.

We'd like to keep you up-to-date with information about our news, products and services. If you'd like to hear more from us, please tick the relevant box below.

- ☐ Mail
- ☐ Phone
- ☐ SMS
- ☐ Email

By ticking the box(es), you're consenting to receiving marketing messages in this way from us. You can change your mind and unsubscribe at any time simply by contacting us.

For more information on how to do this go to customerdashboard.aegon.co.uk/site-info/privacy-and-cookie-policy/

We won't pass your information to other companies outside of the Aegon Group for marketing purposes.

6. Adviser details (for adviser use only)

6.1 Adviser name

Firm name

6.2 Initial adviser charge

This instruction must be completed if you want to take an initial adviser charge. Enter the amount or percentage to be deducted. Tick one option only.

☐ Amount

☐ Percentage of the lump sum payment

%

Is this subject to Value Added Tax (VAT)?

☐ Yes

☐ No

VAT will be added to the amount entered or added after the amount has been calculated.

6.3 Ongoing adviser charge

Use this section to set up monthly ongoing adviser charge.

Is the charge to be linked to a charge model?

☐ Yes

☐ No

If yes – Model name

If no – ongoing adviser charge

 p.a

or

 % p.a.

Is VAT to be added to the above?

☐ Yes

☐ No

6.4 Service charge

This section lets you agree and set up a monthly service charge model to your client's Aegon IISA to pay you a service charge.

Service charge model name

7. Declaration – Aegon GIA

In this declaration 'I', 'my', 'we' or 'our' refers to the holders of the Aegon GIA. All holders are required to sign and date the Aegon GIA declaration.

- 7.1 I/We authorise Aegon to make a withdrawal from my/our Aegon GIA as detailed in section 2 in accordance with the instructions given.
- 7.2 Where there is a single holder of the Aegon GIA, the Aegon GIA holder confirms that the money being withdrawn will belong to the child who is the beneficial owner of the Aegon JISA named in section 1.1. Where there are joint holders of the Aegon GIA, all joint holders confirm that the money being withdrawn will belong to the child named in section 1.1.

You should sign and date this form. When you sign the form, you are making the declarations and confirming that you wish to proceed with the instructions in this form.

Date (dd/mm/yyyy)

2

0

Primary account holder name

Primary holder signature

X

X

Date (dd/mm/yyyy)

2

0

Second account holder name

Second joint holder signature

X

X

Date (dd/mm/yyyy)

2

0

Third account holder name

Third joint holder signature

X

X

Date (dd/mm/yyyy)

2

0

Fourth account holder name

Fourth joint holder signature

X

X

8. Registered contact declaration – Aegon JISA

General declaration

8.1 Aegon relies on the information contained in the following documents as they form the basis of the contract for opening an, or subscribing to an existing, Aegon JISA.

- This application form, including the declarations made in Section 7
- The contract note for the Aegon JISA
- The Aegon Platform terms and conditions as supplemented and amended by the Supplement for Aegon JISA

I confirm that I have received and had the opportunity to read the Aegon Platform terms & conditions as supplemented and amended by the Supplement for Aegon JISA carefully, alongwith the key features document(s), my personal illustration for the Aegon JISA, key investor information documents relating to the funds I would like to invest in and the declarations in this application before completing this application process.

8.2 I accept that the information and documents I have been provided with should not be taken as advice or a recommendation from Aegon.

8.3 I accept that Aegon has not and will not assess the child's suitability for opening an, or subscribing to an existing Aegon JISA or any investment decisions I make. Except where I have received advice from an adviser, this means I will not benefit from the protection of the Financial Conduct Authority's rules on assessing suitability. If I have any doubts about the suitability of the Aegon JISA, I should speak to an adviser where I have not already done so.

8.4 I accept that any payment into this Aegon JISA, including contributions and transfers, will be placed in the appropriate cash facility. Thereafter, investments will be purchased in accordance with the investment instructions given by me, or my appointed adviser.

I declare that:

8.5 Where the child does not have an Aegon JISA capable of accepting the subscription equivalent to the amount detailed in section 2.2:

- i. I apply for an Aegon JISA, and services outlined in the application and agree to be bound by the Aegon Platform terms and conditions as supplemented and amended by the Supplement for Aegon JISA.

8.6 Where the child does have an Aegon JISA capable of accepting the subscription equivalent to the amount detailed in section 2.2, I apply to make this additional subscription to the existing Aegon JISA as detailed in 1.3.

8.7 I am 16 years of age or over.

8.8 I am the registered contact for the existing JISA to be transferred, as detailed above, or I will be the registered contact for the Aegon JISA being applied for.

8.9 I have parental responsibility for the child, or I am the child.

8.10 The child named above will be the beneficial owner of the accounts investments.

8.11 I have not subscribed, and will not subscribe, to another stocks and shares JISA for this child.

8.12 I am not aware that this child has another stocks and shares JISA.

8.13 I am not aware of other JISA subscriptions that have or will result in this child exceeding the annual limit.

8.14 I will not knowingly make subscriptions to JISAs for this child that will result in the subscription limit being exceeded.

8.15 The child who will hold the Aegon JISA does not hold a Child Trust Fund.

8.16 The child is resident in the UK, or is a UK Crown servant, a dependant of a UK Crown servant or is married to/in a registered civil partnership with a UK Crown servant.

8.17 I agree to the Aegon JISA terms and conditions as supplemented and amended by the Supplement for Aegon JISA.

- 8.18 The information supplied in the application, and supplementary forms related to it, including transactional data and personal details, is true and complete to the best of my knowledge and belief. I am aware that it is a serious offence to knowingly provide false or misleading information on the application form or in the application process.
- 8.19 I have read KIIDs relating to the funds I would like to invest in.
- 8.20 Where regulations allow, I nominate my appointed adviser to receive correspondence in relation to my investments. This instruction will remain in force unless my appointed adviser has informed Aegon that they wish for this correspondence to be sent directly to me, or I no longer have an appointed adviser to whom Aegon can send these.
I authorise Aegon to:
- 8.21 Hold the child's cash, subscriptions, investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.
- 8.22 Make on the child's behalf any claims to relief from tax in respect of any JISA investments.
- 8.23 Disclose details of this Aegon JISA to my appointed adviser.
- 8.24 Accept investment and disinvestment instructions from my appointed adviser and to accept instructions from my appointed adviser with regard to all aspects of the administration of the Aegon JISA.
- 8.25 Pay any fees or charge specified by me to my appointed adviser on the child's behalf from the Aegon JISA.

You should sign and date this form in the box below. When you sign the form, you are making the declarations and confirming that you wish to proceed with the instructions in this form.

[illegible]

X

