



Aegon Junior ISA application form

If your personal circumstances mean you need any additional support, or if you'd like a large print, Braille or audio CD version of this document, please contact us on 0345 604 4001 (call charges will vary) or visit aegon.co.uk/support

In this form, 'I', 'me', 'you', 'your' or 'my' refers to you, the registered contact named in section 1, and 'Aegon', 'we' or 'our' refers to Cofunds Limited.

You should use this form to apply for an Aegon Junior ISA (JISA) on behalf of a child who is under 18 and living in the UK, or on your own behalf if you're the child aged 16 to 18 who has successfully applied to become the registered contact. The Aegon JISA is a stocks and shares ISA.

For the tax year 2022/2023 the maximum amount you can pay into JISAs is £9,000.

The registered contact is a person with parental responsibility, or the child aged 16 to 18, if they so want and have successfully applied to become the registered contact.

Before completing this form

You must have been provided with an illustration, terms and conditions, Aegon JISA key features, and a Key Investor Information Document (KIID) or a Key Information Document for each fund you're investing in from us before completing this form. If you haven't, we'll not be able to process your request.

Please send the completed form by post to: Individual Savings Accounts Ltd, 16 High Street, Kegworth, Derbyshire, DE74 2DA.

Our email system and the way we deal with data internally is secure. However we're unable to ensure the security of emails before they reach us. Please consider this when sending us sensitive information.

Whenever you see this icon *, you may have to send us additional information.

1. Child and registered contact details

Illustration number

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1.1 Child details:

Mr / Mrs / Miss / Ms / Other – please specify

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Full forename(s)

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Surname

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Permanent residential address

Postcode

Please tell us:

National Insurance number (if held)

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You should be able to find your National Insurance number on a payslip, from a P45 or P60, or a letter from HM Revenue & Customs (HMRC).

☐ The child doesn't have a National Insurance number

Gender

☐ Male

☐ Female

Date of birth

D	D	M	M	Y	Y	Y	Y
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1. Child and registered contact details – continued

1.2 Registered contact details

Mr / Mrs / Miss / Ms / Other – please specify

Full forename(s)

Surname

Date of birth

D	D	M	M	Y	Y	Y	Y
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Permanent residential address

Postcode

Gender

☐ Male

☐ Female

Was advice given for this application?

☐ Yes

☐ No

☐ Tick this box to confirm that you've had the opportunity to read the Aegon JISA key features, terms and conditions and fund specific information and/ or Key Investor Information Documents (KIIDs) relating to your investment.

Email address

We'll use your email address to contact you about your Aegon JISA. We might also use it to keep you informed about our products and services but only where you've given your consent to this.

2. Funding the investment - tick all that apply

2.1 I'll be funding my investment into the Aegon JISA by:

☐ Cheque

£	
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☐ Monthly direct debit - please download and complete the Direct Debit Instruction - ISA.

£	
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☐ Bank transfer

£	
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For a bank transfer we'll provide bank details when you receive your illustration from us.

Important note: Please make sure you enter the child's National Insurance number, if available, or their surname and date of birth as the reference when you make the payment so we can tie it up with your account.

Source of funds (your source of funds is the details of the bank account that your money to invest comes from).

2.2 Third party details

Complete this section if you're not the child or registered contact but are funding the JISA.

Aegon customer number (if applicable)

3							
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Mr / Mrs / Miss / Ms / Other – please specify

Full forename(s)

Surname

Occasionally we might need to know where your money you are contributing and your funds have come from.

D	D	M	M	Y	Y	Y	Y
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3. Investment details

☐ Use the investment choices below as my new default investment selection. If you select different investments for your regular and single contributions/transfer payments you can't select the default investment strategy and rebalancing options.

3.1 Single payment

Please complete this section if you're making a single payment. The funds should match the illustration you received from us, if they don't, we won't be able to process your request. If you want to invest in different funds, you'll need a new illustration.

Full investment manager name, fund name and share class	SEDOL code	% to be invested
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
Aegon JISA cash facility	n/a	%
Total amount	n/a	100%

☐ If you need to add additional funds, please provide the information on a separate sheet of paper in the format above, sign and date it and attached it to this form.

Please complete this section if you're making regular payments.

- The funds should match the illustration you received from us, if they don't, we won't be able to process your request. If you want to invest in different funds, you'll need a new illustration.

☐ If you need to add additional funds, please provide the information on a separate sheet of paper in the format above, sign and date it and attach it to this form.

If you're setting up a new Aegon JISA product:

Do you want to set up rebalancing on your investments?

- Please confirm the frequency of rebalancing:

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4. Investment income options

If you have income generating investments, this section lets you choose how any income distributions paid from those investments should be dealt with when we receive them from the investment provider. We'll apply your selection to all income generating investments you hold within the Aegon JISA.

Please tick one of the following options:

☐ Reinvest in fund (default) – reinvest any income received back into the same fund.

☐ Leave in cash – pay any income into the Aegon JISA cash facility.

Please note we're not able to pay income directly out of the JISA to you.

5. How we treat personal information

Here at Aegon, we're committed to protecting and respecting your privacy. We collect your personal information so that we can verify your identity, set up your plan and provide ongoing administration. We need this information to carry out our obligations and to provide you with the products and services under the terms of your contract with us. Without it, we wouldn't be able to provide you with a plan. As part of our administration process, we work with carefully selected service providers (in other words suppliers) that carry out certain functions on our behalf. We only share the appropriate level of personal information necessary to enable our suppliers to carry out their services and they need to keep the information safe and protected at all times. Our suppliers must only act on our instructions and can't use your personal information for their own purposes. The personal information we collect may be transferred to, and stored at a destination outside the European Economic Area (EEA). This could be to other companies within the Aegon Group or to our service providers. Where any such processing takes place, appropriate controls are in place to make sure your information is protected. We may disclose your information to licensed credit reference and/or fraud prevention agencies to help make financial or insurance proposals and claims decisions (this will be during the application or enrolment process and on an ongoing basis), for you and anyone you're linked with financially or other members of your household. Our enquiries or searches may be recorded.

You can find more information on how we use and share your personal information, including how long we keep it and details of your rights at customerdashboard.aegon.co.uk/site-info/privacy-and-cookie-policy/ or by contacting us to request a copy. We'd like to keep you up-to-date with information about our news, products and services. If you'd like to hear more from us, please tick the relevant box below.

☐ Mail

☐ Phone

☐ SMS

☐ Email

By ticking the box(es), you're consenting to receiving marketing messages in this way from us. You can change your mind and unsubscribe at any time simply by contacting us. For more information on how to do this go to customerdashboard.aegon.co.uk/site-info/privacy-and-cookie-policy/

6. Adviser details (for adviser use only)

6.1 Adviser name

Firm name

Please detail any adviser or service charges below.

6.2 Initial adviser charge

This instruction must be completed if you want to take an initial adviser charge. If any charge chosen in this section is to be subject to Value Added Tax (VAT), we'll add VAT to the selected 'Amount', or where 'Percentage' is selected, we'll calculate the charge amount and then add the VAT to it, this applies to section 6.2.1 and 6.3.

6.2.1 Initial adviser charge on the single payment

Enter the amount or percentage to be deducted.
Tick one option only.

☐ Amount

☐ Percentage of the lump sum payment

Is this subject to VAT?

☐ Yes

☐ No

VAT will be added to the amount entered or added after the amount has been calculated.

6.3 Ongoing adviser charge

Use this section to set up a monthly ongoing adviser charge.

Is the charge to be linked to a charge model?

☐ Yes

☐ No

If yes - Model name

If no - ongoing adviser charge

 p.a.

Or

 p.a.

Is VAT to be added to the above?

☐ Yes

☐ No

6.4 Service charge

This section lets you agree and set up a monthly service charge model to your client's Aegon JISA to pay you a service charge.

Service charge model name

7. Registered contact declaration

I acknowledge that Aegon relies on the information contained in the following documents as they form the basis of the contract(s) being applied for:

- this application form, including the declarations made in Section 7,
- the first contract note for the Aegon JISA, and
- the Aegon Platform terms & conditions as supplemented and amended by the Supplement for Aegon JISA.

7.1 I am aware that the Aegon Platform terms and conditions as supplemented and amended by the Supplement for Aegon JISA, are the standard terms upon which Aegon intends to rely, and it is important that I read the standard terms before agreeing to be bound by them. If there is any term or point I do not understand in the standard terms or do not wish to be bound by, I understand that I can request further information from Aegon before signing this application.

7.2 I confirm that I have received and had the opportunity to read the Aegon Platform terms & conditions as supplemented and amended by the Supplement for Aegon JISA carefully, along with the key features document(s), my personal illustration for the Aegon JISA, Key Investor Information Document(s) relating to the funds I would like to invest in and the declarations in this application, before completing this application.

7.3 I confirm that I have had the opportunity to read Aegon UK Retail Order Execution Policy and I agree to its terms.

7.4 I accept that the information and documents I have been provided with should not be taken as advice or a recommendation from Aegon.

7.5 I accept that Aegon has not and will not assess the child's suitability for the Aegon JISA, or any investment decisions I make. This means that I will not benefit from the protection of the Financial Conduct Authority's rules on assessing suitability in relation to Aegon.

7.6 I accept that any payment into the Aegon JISA, including contributions and transfers, will be placed in the cash facility of the Aegon JISA. Thereafter, investments will be purchased in accordance with the investment instructions given by me or my appointed adviser.

I declare that:

7.7 I apply for an Aegon stocks and shares JISA for the tax year 2022/2023 and each successive year until further notice, and services outlined in the application and agree to be bound by the Aegon Platform terms and conditions as supplemented and amended by the Supplement for Aegon JISA.

7.8 I am 16 years of age or over.

7.9 I will be the registered contact for this Aegon JISA.

7.10 I have parental responsibility for the child, or I am the child.

7.11 The child named in Section 1 will be the beneficial owner of the accounts investments.

7.12 I have not subscribed, and will not subscribe, to another stocks and shares JISA for this child.

7.13 I am not aware that this child has another stocks and shares JISA.

7.14 I am not aware of other JISA subscriptions that have or will result in this child exceeding the annual limit.

7.15 I will not knowingly make subscriptions to JISAs for this child that will result in the subscription limit being exceeded.

7.16 The child who will hold the JISA does not hold a Child Trust Fund.

7.17 The child is resident in the UK, or is a UK Crown servant, a dependant of a UK Crown servant or is married to/in a registered civil partnership with a UK Crown servant.

7.18 I agree that any Direct Debit instructions in the application will continue into subsequent tax years until I tell Aegon to stop taking payments.

- Date _____

Registered contact

X		X
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