Investment Funds Sell Form to fund your ISA investment

FundsNetwork™

Please complete in BLOCK CAPITALS using BLACK INK. **PLEASE NOTE: Any applications received that are not completed correctly may incur delays or may have to be returned to you.**

Complete one form for each account that you wish to sell holdings from. You should be aware that you may be out of the market for up to 6 working days.

Note: If you are reinvesting the proceeds into the same fund(s) as you held outside an ISA, this means that you will not necessarily have the same number of shares as you originally held.

This form MUST be submitted with a fully completed ISA Application Form

1 Personal Details

TITLE

SURNAME

FIRST NAME(S) IN FULL

ADDRESS
HOUSE NUMBER AND/OR HOUSE NAME

ACCOUNT NUMBER OR CLIENT NUMBER

STREET, CITY, COUNTY AND COUNTRY DETAILS

CONTACT TELEPHONE NUMBER (in case of query)

POSTCODE

ACCOUNT DESIGNATION (If this Fidelity account has a designation assigned to it please enter it below)

ARE YOU A UK NATIONAL ONLY? (PLEASE MARK AN X IN THE BOX) ☐

ARE YOU A UK NATIONAL AND NATIONAL OF ONE OR MORE OTHER COUNTRIES? (TICK BOX AND LIST ALL OTHER COUNTRIES BELOW) ☐

ARE YOU A NATIONAL OF NON-UK COUNTRIES ONLY? (TICK BOX AND LIST ALL OTHER COUNTRIES BELOW) ☐

NATIONALITY 1

NATIONALITY 2

NATIONALITY 3

NATIONALITY 4

From 3rd January 2018 in order to invest in exchange traded products (Investment Trusts or Exchange Traded Funds) we are required to capture a national ID for clients for one of their nationalities for reporting purposes. If your client is a UK Citizen, this is the National Insurance Number. If your client is dual national or a national of another country then another identifier may be required. Please refer to our guide for capturing nationalities. We are allowing capture of this information online so your clients can invest in existing or new exchange traded products after 3rd January 2018.

NATIONAL IDENTIFIER

2a Sell Details

We may sell funds in sequence from the first listed fund until the requested total amount has been reached. When selling your investment we may, on occasion achieve slightly more or less than requested. This is because fund prices change on a daily basis. Where more cash is received for your investment we will deposit the additional amount as cash within the investment account. Where less cash is received than requested we will try and complete the full value of your instruction using any available cash in the investment account. Where this is not possible we will process the investment into the ISA for the amount received.

Where Fund Manager's Sell Charges apply, we may sell enough to cover the instruction in addition to the charge.

When selling a fund you can request an amount up to 90% of the current value. If you ask us to sell more than 90% then we will do so by selling units instead of a specific amount.

Please do not include brokerage assets. Buying and selling brokerage assets is not supported on paper forms Please sell down the brokerage asset using our online service. You can then use this form to move the resulting cash to your ISA.

FUND CODE **FUND NAME** **ENTIRE HOLDING OR** **AMOUNT (£)**

PLEASE TURN OVER

/01.19/V4.0/

FNW_IP_OEICISASale

M 0 0 2 8 4 0 1

2a Sell Details (continued)

FUND CODE	FUND NAME	ENTIRE HOLDING	OR	AMOUNT (£)
	Cash			
			TOTAL (£)	

2b ISA Investment

Please note: An ISA application form must be submitted with this form. Your ISA investment choices must be clearly indicated on your submitted ISA application form, however, should you wish to invest using the same funds and proportions as were held outside the ISA then please select the tick box below.
ISA investors may only subscribe money belonging to them (ie. investments are sold from the investor's own account or an account jointly held with their spouse).

☐ I wish to process my ISA investment using the same funds and proportions as the generated sells.

3 Joint Holders Details

	TITLE	SURNAME	FIRST NAME(S) IN FULL
SECOND APPLICANT			
THIRD APPLICANT			
FOURTH APPLICANT			

4 Declaration & Signature

By signing below, I confirm that
- I have read the relevant Key Features Documents – Doing Business with Fidelity or Doing Business with Fidelity FundsNetwork documents to which this redemption relates as well as the Client Terms which I accept.
- The information given by me is correct to the best of my knowledge and I will inform Fidelity immediately of any changes to the information contained therein.
- I/We wish to sell the above funds from my/our non-ISA account.
- I/We have enclosed a completed ISA application form with this instruction.

SIGNATURE(S) OF ALL HOLDERS AND DATE (YOU MUST SIGN HERE - Please ensure all relevant sections are completed as per the instructions on this form)
If you are signing the application form by Power of Attorney, please call Fidelity for the details of documentation that is required for this to be acceptable.

You must provide a SIGNATURE for EACH ACCOUNT HOLDER

✘ PRIMARY ACCOUNT SIGNATURE ✘ ✘ THIRD ACCOUNT SIGNATURE ✘

✘ SECOND ACCOUNT SIGNATURE ✘ ✘ FOURTH ACCOUNT SIGNATURE ✘

2 0

Please send your completed form together with your ISA Application Form to
Fidelity International (IMS), PO Box 80, Tonbridge, TN11 9YA or to your Intermediary.
Issued jointly by FIL Investment Services (UK) Limited, Financial Administration Services Limited and FIL Investments International, all are authorised and regulated by the Financial Conduct Authority.
Fidelity, Fidelity International, FundsNetwork™, their logos and F symbol are trademarks of FIL Limited.

/01.19/V4.0/
FNW_IP_OEICISASale

M 0 0 2 8 4 0 2

FundsNetwork™

Investment ISA (2020/2021)

Funded by a 3rd Party Investment Account

How to fill in this form:

- Please use black ink and write clearly inside the boxes provided using capital letters
- Mark your answers with a cross in the appropriate box like this: ☒
- If you make a mistake, please correct it but don't use correction fluid
- Any applications received that are not completed correctly may incur delays or could be returned to you
- If you have any questions about the form, please speak to your adviser or call us on 01509 670918

What's next?

Please send your completed application form to:

ISA Ltd, 16 High Street, Kegworth, Derby, DE74 2DA

We will open the Investment ISA and send you a Confirmation of Transaction.

Use this form to move money from an Investment Account held by one client into an ISA held by another, such as from spouse to spouse. Please complete and return with the Investment Funds Sell Form to fund your ISA investment.

1 About you

To help us protect you from fraud we need to check your identify (this is also part of our anti-money laundering obligations). We can usually do this using an electronic verification system with the information provided. This will create a record on your credit report, which will only be visible to you and will not affect your credit score.

Fidelity Account Number or Customer Reference Number (if you already have an account with us)

Title

☐ Mr ☐ Mrs ☐ Ms Other:

Surname

First and other names in full

Gender

☐ Male ☐ Female

Your address - 'Care of' and PO Box are not acceptable. Only UK addresses are eligible unless you or your spouse/civil partner are a Crown Employee or British Forces Posted Overseas.

House number/name

Street, city, county and country

Postcode

Crown Employee?
If your address is outside the UK and you are a Crown Employee or the spouse/civil partner of a Crown Employee, please mark an X in this box. ☐

Telephone number

Email address

/02.20/v7.0/ **M2**

IPISAFNWnt3rdPty

T 0 0 6 7 5 0 1

1 About you (continued)

Date of birth (DDMMYYYY)

Town of birth

Country of birth

National Insurance Number
(this can be found on a payslip or a letter from HMRC)

No National Insurance Number?
If you have never been issued with a National Insurance Number please mark an X in the box.

Are you a UK National only? (Please mark an X in the box)

Are you a UK National and National of one or more other countries? (tick box and list all other countries below)

Are you a National of Non-UK countries only? (tick box and list all other countries below)

Nationality 1

Nationality 2

Nationality 3

Nationality 4

From 3rd January 2018 in order to invest in exchange traded products (Investment Trusts or Exchange Traded Funds) we are required to capture a national ID for clients for one of their nationalities for reporting purposes. If your client is a UK Citizen, this is the National Insurance Number. If your client is dual national or a national of another country then another identifier may be required. Please refer to our guide for capturing nationalities. We are allowing capture of this information online so your clients can invest in existing or new exchange traded products after 3rd January 2018.

National Identifier

Driving Licence number (If applicable - 18 characters as shown on your photocard)

Employment Status

Employed Self-Employed Full-Time education Unemployed Pensioner

Source of this investment

Savings from income Inheritance Income from salary

Divorce Settlement Gift

Sale of Property Sale of Investments/transfer

Other (Please specify)

/02.20/v7.0/ **M2**

IPISAFNWnt3rdPty

T 0 0 6 7 5 0 2

2 Your investment choices

- The Investment ISA 2020/2021 has an allowance of **£20,000**.
- Please ensure you enclose an Investment funds sell form so that we can process your investment.

Please provide your fund choices and the amounts you want to invest below.

It's important to write the fund code and name clearly inside the boxes provided using capital letters – we use the code to determine your fund choice. You can find details of all investment options and fund codes at fidelity.co.uk

Should you wish to invest in brokerage assets, then please specify cash at this point. Once your instruction has been completed you will be able to use our online service to purchase brokerage investments using that cash.

Fund code	Fund name	Lump sum (£)
		.
		.
		.
		.
		.
		.
	Cash*	.
	Total	.

Investments made into Cash* will be made into the cash holding within the ISA account. This may be Cash Park or Product Cash depending upon the account type.

Should any of your chosen funds pay income, we will follow your existing preferences for income payments on your existing ISA. If this instruction is to set up a new ISA, income will be reinvested into the income paying fund buying more units. You can find further options available online. Please go to Fidelity.co.uk for more details.

3 Intermediary details - to be completed by the intermediary

Company stamp

ISA Ltd
16 High Street,
Kegworth,
DE74 2DA

Unique Adviser Number

5 5 0 1 0 8

Office Use Only
Please ignore

FCA number
I confirm that I am registered with the FCA to conduct business and my authorisation number is:

1 2 5 6 8 6

Remuneration details

Have you provided a personal recommendation?
(You must complete either the Yes or No box)

☐ Yes – This option would default your remuneration type to Fee and override any alternate remuneration choice

☑ No

Please note these assets will be added to your client's fee account and if Adviser Ongoing Fee has previously been setup this will be automatically applied. An Initial Fee cannot be applied to this type of instruction.

An Adviser Ongoing Fee cannot be applied to this type of investment using an application form. If you would like to setup an Adviser Ongoing Fee this must be done online once the assets have been received.

Verification of identity

☐ I/We confirm and consent to Fidelity's reliance on the fact that I/we have verified the client in accordance with the UK Money Laundering Regulations and standards set in guidance issued by the JMLSG and will retain the supporting documentation for 5 years after the end of the relationship with the client.

This confirmation must carry an original signature or electronic equivalent.
I/We confirm that I/we have provided the client with the appropriate documentation for their investment:

- The Key Features Document - Doing Business with FundsNetwork.
- The key information document applicable to the client's investment.
- Personal Illustration.
- The FundsNetwork Client Terms.

Your signature

Intermediary signature

Date signed

(DDMMYYYY)

/02.20/v7.0/ **M2**

IPISAFNWnt3rdPty

T 0 0 6 7 5 0 4

4 Declaration and signature

Your ISA is managed by Financial Administration Services Limited, a Fidelity International Group company.

I apply to subscribe for a stocks and shares ISA for the tax year 2020/2021 and each subsequent tax year in which I choose to make a subscription.

I declare that:

- My adviser has provided me with the following documents either as an electronic version, which I have saved or printed, or as a paper copy and I have read:
  - Key Features Document (Doing Business with FundsNetwork)
  - the key information document
  - Personal Illustration
  - and accept the FundsNetwork Client Terms. For your own benefit and protection you should read the FundsNetwork Client Terms carefully before signing them. If you do not understand any point or have not received one or all of the documents above please contact your adviser.
- All subscriptions made, and to be made, belong to me and that I am 18 years of age or over.
- I have not subscribed and will not subscribe to another Stocks and Shares ISA in the same tax year that I subscribe to this Stocks and Shares ISA.
- I have not subscribed and will not subscribe to another Stocks and Shares ISA in the same tax year that I subscribe to this Stocks and Shares ISA.
- I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with a person who performs such duties. I will inform Fidelity if I cease to be so resident or to perform such duties or to be married to, or in a civil partnership with, a person who performs such duties.
- I authorise Financial Administration Services Limited:
  - to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash;
  - to make on my behalf any claims to relief from tax in respect of ISA investments.
- The information given by me is correct to the best of my knowledge, and I will inform Fidelity immediately of any changes to the information contained therein.

I declare that:

- I/We wish to sell the above funds from my/our non - ISA account.
- As a FundsNetwork customer, an element of the monies you hold in your account will be used to pay fees to your adviser(s). When we receive this form, we will take this as your authority to link the holdings and fees to the adviser specified on this form. Any fee agreement with a previous adviser will be superseded by this authority.

Signing on behalf of others

If you are an attorney signing on behalf of the applicant, you must attach:

- an original sealed Court of Protection/Enduring Power of Attorney stamped by the Office of the Public Guardian (where the client is mentally or physically incapacitated), or
- Power of Attorney with a signed letter confirming that the client is prevented from signing the application as a result of their physical incapacity (in cases of physical incapacity only).

Copies of the Power of Attorney must, on every page, be certified as true copies with:

- the words 'I certify this to be a true copy of the original', and
- the certifier's signature and printed name, date, official stamp or professional capacity.

Documents can be certified by a solicitor, justice of the peace, notary public, commissioner of oaths or stockbroker.

Your signature

ISA Investor Signature

Print name

Date signed

(DDMMYYYY)

If required, signature boxes for all additional registered holders are on page 7.

/02.20/v7.0/ **M2**

IPISAFNWnt3rdPty

Issued by Financial Administration Services Limited, authorised and regulated by the Financial Conduct Authority (FCA). FundsNetwork™ and its logo are trademarks of FIL Limited.

T 0 0 6 7 5 0 5